

YOUR FACILITY

CONFIRMED PARTICIPANTS INCLUDE:



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Children's Services,
CITY OF TORONTO



Stan Cho,
Parliamentary Assistant to the
President of the Treasury Board,
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Chief Audit and Evaluation Executive,
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Veronica Arreaza,
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Sandy Boucher,
Senior Manager,
GRANT THORNTON



Atul Jain,
Environmental Health Program
Manager,
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Dale Schattenkirk,
Director, Advisory Services,
KPMG



Rob Clayton,
Partner, Public Sector Audit Practice,
KPMG

Public Sector Internal Controls

The Essentials of Good Governance for Municipalities, Crown Corporations and Canadian Public Sector Agencies

March 26 – 27, 2019 • Toronto, Ontario

Get Insight on Current Issues and Best Practices for Managing Your Internal Controls

- ✓ Hear about key trends and current issues in public sector internal controls
- ✓ Learn how to differentiate between the right controls and too many controls
- ✓ Explore risks and privacy considerations involved with emerging technology
- ✓ Identify best practices for assessing your IT control
- ✓ Ensure your internal audit activities are in line with audience objectives
- ✓ Understand how to develop an effective fraud risk assessment
- ✓ Get technology tips for automating audit and control testing and to simplify the accessibility and sharing of information
- ✓ Learn how to streamline internal controls with process mapping and improve business process management
- ✓ Examine the benefits and risks of using data analytics to manage controls

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Public Sector **Internal Controls**

March 26-27, 2019 • Toronto, Ontario

DAY ONE PROGRAM AGENDA: WEDNESDAY, MARCH 26, 2019

7:30 – 8:30 Registration and Continental Breakfast

8:30 – 8:40

Welcome and Opening Remarks from the Chair

Trish Horrigan, Manager, Risk & Accountability, Children's Services, City of Toronto

8:40 – 9:20

Public Trust in the Management of Public Funds & Procurement

MPP Stan Cho - Parliamentary Assistant to the President of the Treasury Board, Government of Ontario

- Getting ready for the future of public sector accountability
- Assessing internal controls of financial reporting
- Knowing your business processes and advanced IT
- Determining control objectives using risk based processes
- Understanding the goals and objectives of ICFR
- Examining the areas for improvements within the controls

9:20 – 10:20

Organizational Culture - Mitigating Risk Through Understanding

Martin Rubenstein, Chief Audit and Evaluation Executive, Transport Canada

- Defining the system of values, beliefs and behaviors that shape how things get done within an organization
- Clarifying the activities used to reinforce culture and the employee behaviors that have an impact on an organization's culture
- Making workplace culture tangible within an internal control framework
- Clarifying the qualitative and quantitative indicators that support a healthy organization
- Understanding the importance of monitoring and mitigating "culture risk"
- Developing practical frameworks in order to identify linkages between leader's actions, business strategy, organization systems and employee behaviors

10:20 – 10:40

Networking Break



10:40 – 11:40

Cybersecurity & AI in Public Sector Internal Controls

Eugene Ng, Partner, Cyber Security, MNP LLP

- Precedent setting cases from the last year
- Preparing for potential breaches and damage control
- Responding quickly and effectively is critical
- Adapting business models to be vigilant
- AI implementation in risk management
- Evaluating IT controls and the future of AI
- Implementing strong governance
- Ensuring that risks are managed effectively

11:40 – 12:30

Small Organizations with Big Responsibilities - Succeed Despite Size

Rob Clayton, Partner, Public Sector Audit Practice, KPMG

- Doing more with less, more resources are not always required
- Partnering for success
- Are you doing too much?

Strategies to improve organizational effectiveness

12:30 – 1:45

Luncheon Break

1:45 – 2:45

Monitoring the Milestones Within Contracts - Demonstrating Rigour and Transparency

Jen Pavlov, Senior Manager, Business Risk Services Grant Thornton LLP

- Taking responsibility at all levels, not just the process owners or risk owners
- Understanding internal controls and their purpose - the key to success
- Putting policies in place and making sure they are followed
- Due diligence starts at the very beginning of a vendor presentation
- Pushing back early in the process - asking the 'what if' questions
- Monitoring, planning, assessing the scope and contingencies

2:45 – 3:00

Networking Break



3:00 – 4:00

Tailoring ERM and Audits for Smaller Public Sector Organizations

Monika Sawa, CFO, Agricorp

- Effective ways to address ERM in a smaller organization
- Recognizing strategic risks as different from operational risks
- Dealing with emerging and retiring risks
- Assessing operational risks in any business process
- Risk Reporting - Pre and post risk mitigation and rating systems

4:00

End of Day One

TORONTO, ONTARIO
EVENT LOCATION: TORONTO, ONTARIO

Public Sector Internal Controls will be held at a convenient downtown location in Toronto, Ontario Detailed venue information will be forthcoming as it becomes available.

Public Sector **Internal Controls**

March 26-27, 2019 • Toronto, Ontario

DAY TWO PROGRAM AGENDA: THURSDAY, MARCH 27, 2019

7:30 – 8:30 Registration and Continental Breakfast

8:30 – 8:40

Welcome and Opening Remarks from the Chair

Trish Horrigan, Manager, Risk & Accountability, Children's Services, City of Toronto

8:40 – 9:40

Fraud Detection and Prevention Roundtable – You Can Fight Back

Sarah MacGregor, Director of Internal Audit, City of Barrie

- Review of current fraud trends for municipalities
- Speaking up - what keeps you up at night when it comes to fraud?
- Practical approaches to finding internal and external fraud in your organization
- Collecting ideas on how to detect and manage fraud in the public sector
- Lessons learned from municipalities across Ontario

9:40 – 10:00

Networking Break



10:00 – 11:00

The Lean-In Audit Approach to Public Sector Internal Controls

Dale Schattenkirk, Director, Advisory Services, KPMG

- Using a Lean tool set to complete annual audits and engage staff in improvements
- Getting beyond the regulatory checklist
- Increase finance function efficiency
- Involving stakeholders in the process
- Fostering a sustainable culture of improvement in the public sector

11:00 – 12:00

Understanding How Blockchain will Impact Internal Controls

Mark MacDonald, Leader, Government & Public Sector, Ernst and Young

- Preparing for the near-term transition into this new technology
- Why should internal audit care?
- Creating the culture to embrace change in operating systems

12:00 – 1:15

Luncheon Break

1:15 – 2:15

Blockchain App to Ensure Food Safety Internal Controls

Atul Jain, Environmental Health Program Manager, Peterborough Public Health

In early 2019, Peterborough Public Health in collaboration with Healthspace will pilot Windows based Environmental Health Inspection App that uses blockchain technology for inspections of local food premises in the County and City of Peterborough and Curve Lake and Hiawatha First Nations. The speaker will provide an update on the pilot project, its successes and failures and next steps.

- Pilot project is the first in Canada
- Facilitating a shared truth between government and industry
- Improving transparency for citizens
- Creating barriers to fraud
- Standardizing communication

2:15 – 2:30

Networking Break



2:30 – 3:30

Due Diligence & Managing Corruption in Municipalities

Sandy Boucher, Senior Manager, Grant Thornton

- Keeping an eye on controls during rapid growth
- Best practices in remaining vigilant over corruption
- Detecting fraud in municipal infrastructure projects
- Applying constant evolving good governance to your organization

3:30 – 4:20

Data Driven Audits in Financial Reporting - Testing & Trusting Your Data

Veronica Arreaza, IT Audit Consultant

- Transforming the public sector audit through data analytics
- Data drives insights - learning the key factors
- Testing data for completeness, validity & accuracy
- Adding value by understanding the whole of the audit as opposed to just sample
- Altering your strategy dependent upon the data structure
- Using intelligent analytics to deliver a higher quality of audit evidence

4:20

End of Seminar

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Increase your visibility with senior business strategy, technology, analytics, and business intelligence professionals at *Public Sector Internal Controls*. A limited number of sponsorship options are available.

For more information or to check availability, contact our sponsorship department by telephone at 1.800.474.4829, ext. 224, or by email at sponsorship@infonex.ca.

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WHO SHOULD ATTEND THIS INFORMATIVE EVENT

- Internal Audit
- Risk Management
- Accounting
- Assurance Services
- Advisory Services
- Quality Assurance
- Financial Services
- Financial Compliance
- Corporate Performance, Evaluation, and Accountability
- Regulatory Compliance Officers

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Toronto, Ontario M5H 2V6

LOCATION:

Public Sector Internal Controls will be held at a convenient location in Toronto, Ontario. Detailed venue information will be forthcoming as it becomes available.

YOUR REGISTRATION INCLUDES:

Registration fees include all course materials, continental breakfast, lunch, and refreshments. **Parking and accommodation are not included.**

SPONSORSHIP, EXHIBITION, AND PROMOTIONAL OPPORTUNITIES:

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Registrants who cancel after **March 12, 2019**, will not be eligible to receive any credits and are liable for the entire registration fee.

Confirmed registrants who do not cancel **by March 12, 2019** and fail to attend will be liable for the entire registration fee.

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