

INTERNAL CONTROLS

Practical Solutions for Ongoing Compliance Challenges

*EXPERIENCED, DYNAMIC
SPEAKERS FROM*

ALBERTA SECURITIES
COMMISSION

WESTJET

BDO DUNWOODY LLP

DELOITTE & TOUCHE LLP

FOCUSROI INC.

RESOLVER

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SUNERA CANADA

CANADIAN TIRE CORPORATION

*Get strategies you can
take back to your office and
implement today!*

INFONEX
Profit from Knowledge

September 22 and 23, 2009

Optional Workshops: September 24, 2009

CALGARY, ALBERTA

*Learn the latest developments on internal controls
regulations and get practical solutions*

- ✓ Hear directly from the ASC on the latest regulatory developments
- ✓ Decrease costs and maintain reliability by effectively reducing testing sample sizes
- ✓ Master the difficulties of internal controls in outsourcing arrangements
- ✓ Identify and meet key MD&A expectations
- ✓ Ensure your anti-fraud programs meet expectations
- ✓ Maintain your certification in a changing environment: understanding M&A and other changes

PLUS! In-depth optional workshops to maximize your take-home!

- ✓ *Risk Based Approach: Steps in Implementing an Appropriate Risk-Based Methodology*
- ✓ *Leveraging AS5 to Improve Your CEO/CFD Certification Process*

Register Today!

Call 1.800.474.4829

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INTERNAL CONTROLS – CALGARY 2009

SEPTEMBER 22 AND 23, 2009 • WORKSHOPS: SEPTEMBER 24, 2009 • CALGARY, ALBERTA

YOUR INVITATION TO ATTEND

INFONEX

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67 Richmond Street West, 7th Floor, Toronto, Ontario M5H 1Z5
Telephone: 1.800.474.4829 Fax: 1.800.558.6520 www.infonex.ca

Dear Colleague,

Internal control compliance continues to be one of the most difficult challenges facing your organization. With looming compliance deadlines, and the challenges of making the regulations work in your organization, you need proven techniques that will help you succeed.

INFONEX's 2009 *Internal Controls* event will take you beyond theory and give you concrete examples of what leading companies are doing to ensure their success with internal controls implementation.

Our prestigious faculty of leading accounting professionals and practitioners will provide you with a complete, in-depth update, practical advice and specific examples to help you deal with the pressing issues involved with entity level control documentation and testing implementation. You will be given up-to-the minute details on what's new, how it will impact your organization and how you can prepare yourself.

Don't miss this opportunity to hear case studies and lessons learned from your peers as well as informative sessions from industry leaders. Over 300 organizations across Canada have already taken advantage of our internal controls conferences—don't miss your chance, register today!

Sincerely,



Chris Graham
Vice-President, Conferences
INFONEX Inc.

P.S. Don't miss this opportunity to hear about new trends in risk and compliance!

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INTERNAL CONTROLS – CALGARY 2009

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Day 1

PROGRAM AGENDA: TUESDAY, SEPTEMBER 22, 2009

8:00 – 9:00 Registration and Continental Breakfast

9:00 – 9:15

Welcome and Opening Remarks from the Chair

*Nitin Khare, Senior Manager, Risk Advisory Services,
BDO Dunwoody LLP*

9:15 – 10:15

Latest Regulatory Developments in Internal Controls Reporting

*Patricia van de Sande, Analyst, Corporate Finance,
Alberta Securities Commission*

- Updates on NI 52-109 and related material
- Common issues found in ASC's enforcements
- Frequent concerns with rules
- Lessons learned

10:15 – 10:30

Networking Break



10:30 – 11:30

Cost Effective and Value-Added Certification

*Nitin Khare, Senior Manager, Risk Advisory Services,
BDO Dunwoody LLP*

- Utilizing a top-down, risk-based approach to maximize benefits
- Defining the project scope and testing
- By-products of control certification
- Developing the appropriate governance structure, including defining accountabilities and responsibilities, to sustain internal control reporting
- Integrating internal control reporting with other governance, risk, and compliance (GRC) initiatives to increase effectiveness and efficiency
- Learn to apply the knowledge gleaned from internal control assessments (ex. inefficiencies etc.) to use opportunities for business process improvement and to create value for your organization and its shareholders

11:30 – 12:30

CASE STUDY

Documenting, Testing, and Reporting Entity-Level Controls and Operating Effectiveness

Cristine Prattas, AVP, CEO/CFO Certification, Canadian Tire Corporation

- Linkage to business process controls
- Testing operating effectiveness of entity-level controls
- Monitoring controls
- Leveraging effective entity-level controls to reduce process-level work
- Remedial methods for deficiencies
- Documenting, testing, and reporting procedures

12:30 – 1:45

Luncheon

1:45 – 2:45

Impact of Changes to IFRS on Your Internal Controls

Craig Trail, Partner, Deloitte & Touche LLP

Steen Skorstengaard, Partner, Deloitte & Touche LLP

- Identifying the impacts that the move to IFRS will have on your internal controls including entity-level controls, transaction controls, and information technology controls
- Ensuring proper control environment for effective conversion
- Aligning changes in accounting practices with changes in processes and related controls
- Planning for the changes

2:45 – 3:00

Networking Break



3:00 – 4:00

Leveraging Technology to Streamline Your Compliance Framework

Steve Taylor, President, Resolver

- Risk assessment: using software to enhance the risk assessments required by a top-down approach
- Scoping: using technology to accelerate the scoping process and allow companies to reduce the controls they test
- Eliminating overlap between compliance areas: never asking the business the same question twice!

4:00 – 5:00

Aggregating and Analyzing ICFR Deficiencies: A Practical Approach

Ingrid Robinson, Enterprise Risk, Meyers Norris Penny LLP

- Grouping and analyzing ICFR deficiencies at "division-level" and "overall company-level"
- Deriving ICFR design and operating effectiveness
- Overview of best practices methodologies

5:00

End of Day One

All of this information was extremely relevant and timely for my company . . . Great job!

— Senior Accounting Analyst
KELMAN TECHNOLOGIES INC.

Course material was very relevant and presented in an excellent manner.

— Partner
MANNING ELLIOT LLP

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Day 2

PROGRAM AGENDA: WEDNESDAY, SEPTEMBER 23, 2009

8:00 – 9:00

Continental Breakfast

9:00 – 9:15

Opening Remarks from the Chair

Nitin Khare, Senior Manager, Risk Advisory Services, BDO Dunwoody LLP

9:15 – 10:15

Maintaining Effective Internal Controls and Compliance in a Changing Environment

Gordon Chan, Enterprise Risk Services Leader, Meyers Norris Penny LLP

- Staying compliant during changes
- Documenting changes
- Integrating new components into testing plan
- Doing it without interrupting the business

10:15 – 10:30

Networking Break



10:30 – 11:30

Outsourcing and the Impacts on Controls: Section 5970 and SAS 70 Report

Andrew Boulton, Manager, Technology Risk and Security, Risk Advisory Services, BDO Dunwoody LLP

- Ensuring presence of controls
- Testing an outsourced environment: 5970 and SAS70
- Documenting, testing, reporting, and remediation of deficiencies
- Working with other company to ensure compliance
- Managing outsourcers

11:30 – 12:30

CASE STUDY

From Project to Process: Decrease Your Testing Sample Moving Forward

Shawn Hendry, Director, Sunera Canada

- Refining and rationalizing your key controls
- Developing an effective testing strategy
- Decreasing sample size moving forward
- Leveraging monitoring controls to reduce testing of transactional controls
- Matching testing methods to level of risk
- Making testing an ongoing process versus a one time project

12:30 – 1:45

Luncheon

1:45 – 2:45

CASE STUDY

Streamlining Controls with Business Processes

Dary Bissonette, Manager, Corporate Accounting and Internal Controls, Finance Department, WestJet

- Integrating controls environment with daily business activities
- Align internal controls to business strategy and key risks faced by the firm
- Designing internal controls for business process improvement
- Moving from project to process
- Measuring and monitoring ROI with improved performance
- Apply a broader understanding of key risk areas to relevant areas of controls and direct investments based on that knowledge
- Ensure your internal control infrastructure underpins the execution of overall business oversight and risk management practices
- Continuous controls testing

2:45 – 3:00

Networking Break



3:00 – 4:00

Internal Controls and MD&A Disclosures (Management Disclosures and Analysis)

Stuart Hartley, President, FocusROI Inc.

- Measuring efficiency of controls
- New disclosure requirements
- How to disclose material weaknesses
- Most common deficiencies: how to avoid them?
- Linking risk disclosures to the planning process to ICFR reporting
- Due diligence: what and how much are you require to do?

4:00 – 5:00

Open Forum: Discussion Period

5:00

End of Day Two

PLUS! Take advantage of our  optional post-conference workshops

SPONSORSHIP AND EXHIBITION OPPORTUNITIES

If you would like to increase your visibility with senior finance executives, you need to be at the *Internal Controls – Calgary* conference. A limited number of sponsorship options are available, including:

Exhibit Space • Cocktail Reception • Luncheon • Breakfast • Conference Documentation

For more information, contact our sponsorship department at 416.971.4177, ext. 244, or e-mail sponsorship@infonex.ca. Choose to participate at this event and ensure your brand is front and centre at this great marketing opportunity.

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OPTIONAL WORKSHOPS: THURSDAY, SEPTEMBER 24, 2009

WORKSHOP ONE: 9:00 – 12:00

Risk-Based Approach: Steps in Implementing an Appropriate Risk-Based Methodology

Stuart Hartley, President, FocusROI Inc.

The effective use of a top-down, risk-based approach can dramatically reduce the cost of the compliance process. This session outlines the growing importance of entity level controls in the compliance process and how to use the risk based approach to reduce the extent of control testing and need for supporting control documentation. Specific areas that will be addressed include

- Effective approach to risk assessment
- Implementing and documenting entity-level controls
- Relating entity level controls to financial reporting
- Design and use of entity-level monitoring controls
- Implementing risk-based testing

WORKSHOP TWO: 1:00 – 4:00

Leveraging AS5 to Improve Your CEO/CFO Certification Process

Gordon Chan, Partner, Meyers Norris Penny LLP

Etienne Poulin, Senior Manager, Meyers Norris Penny LLP

- Expand your understanding of PCAOB AS5 and SEC management interpretative guidance
- Learn how AS5 will impact your compliance strategy through application of a “pure” top-down, risk-based approach
- Discuss practical guidance to achieve compliance efficiencies
- Discuss how the changes impact the work of internal auditors

NETWORKING OPPORTUNITIES

Attend *Internal Controls 2009* and take advantage of our networking breaks and luncheons to meet other senior finance and accounting executives from across the country.

BUILDING ON A TRADITION OF SUCCESS!

Delegates at our previous internal controls and financial events rave about their experiences. Here's what they have to say:

“ *Great insight into entity-level controls and testing.* ”

— **Manager, Certification Compliance, ALTAGAS INCOME TRUST**

“ *This was an excellent conference – on point, informative, timely, [with] excellent speakers, good handouts/reading materials.* ”

— **CSOX Compliance Lead, ALLIANCE PIPELINE**

“ *Seminar was informative and very good quality speakers.* ”

— **Manager, Financial Reporting, ARC ENERGY TRUST**

“ *Very informative ... good guidelines on where to focus efforts.* ”

— **Director, Finance and Administration, HAEMACURE CORPORATION**

WHO SHOULD ATTEND THIS EVENT

- Chief Financial Officers
- Vice-Presidents of Finance
- Accountants
- Controllers
- Directors and Managers of Internal Audit
- Directors, Managers, and Analysts of Financial Compliance
- Directors, Managers of Internal Controls

MANY OF YOUR PEERS HAVE ATTENDED OUR INTERNAL CONTROLS EVENTS, INCLUDING

Altagas Services Inc. • Altagas Utilities Inc. • Domtar Inc. • Emera Energy • YGC Resources Ltd. • Encana Corporation • Explosives Ltd. • Japan Canada Oil Sands Ltd. • Governance, Risk, Compliance Inc. • Hydro One Networks Inc. • Livingston International • Maple Leaf Sports & Entertainment Ltd. • Landmark Oil & Gas Ltd. • Service Canada • Shoppers Drug Mart Ltd. • Sleep Country Canada • Sobeyes Inc. • Niko Resources. • Alberta Pacific Forest Industries Inc. • Petro Andina Resources Inc. • Saskenergy Inc. • Trinidad Energy Services Income Trust • UTS Energy Corp. • Western Financial Group • Western Forest Products Inc.

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LOCATION: *Internal Controls* will be held at a convenient location in Calgary, AB. Detailed venue information will be provided with your registration confirmation.

YOUR REGISTRATION INCLUDES: Registration fees include all course materials, continental breakfast, lunch, and refreshments. **Parking and accommodation are not included.**

GROUP DISCOUNT — SEND YOUR TEAM!

4th Person Attends Free! If 3 individuals from one organization register at the same time, a 4th person may also be registered to attend free of charge. The free registration must be of equal or lesser value than the paid registrations. All discounts will be applied against the full conference fee. You cannot combine multiple discounts – only one discount can be used per registration.

MAILING LIST UPDATE/REMOVAL: To add, update or remove your information from the Infonex mailing list, please call 1-800-474-4829, and select Option 3, or complete the online form at www.infonex.ca. Please note: Infonex prepares mailings several weeks in advance; it may take such time for the updates to come into effect.

FIVE KEY BENEFITS OF ATTENDING THIS EVENT

- 1) Hear directly from the ASC on long-awaited regulatory updates
- 2) Increase your take-home knowledge with our specific testing sessions tailored to your needs
- 3) Understand how to best use entity-level controls
- 4) Learn from the experiences of leading industry practitioners
- 5) More case studies, more lessons learned, more practical advice

REGISTER BY PHONE, ON-LINE, OR IN THESE 3 EASY STEPS!

1 PRINT YOUR NAME AND CONTACT INFORMATION

Yes! Register me for *Internal Controls - Calgary 2009*

Mr./Ms./Mrs. _____ Title _____

Organization _____

Name of Approving Manager _____ Title _____

Address _____

City _____ Province _____ Postal Code _____

Telephone () _____ Ext _____ Fax () _____

E-mail address _____

Company's main line of business _____ Number of Employees: _____

2 SELECT YOUR OPTION(S) AND PREFERRED METHOD OF PAYMENT

REGISTRATION FEES:	Register by JULY 24	Register by AUGUST 21	FULL PRICE
Conference Only	\$1,799 + GST	\$1,999 + GST	\$2,299 + GST
Conference + 1 Workshop	\$2,249 + GST	\$2,499 + GST	\$2,849 + GST
Conference + 2 Workshops	\$2,599 + GST	\$2,899 + GST	\$3,299 + GST

SELECT YOUR OPTION(S): Conference Workshop One Workshop Two

Please charge to my corporate or personal credit card: VISA MC AMEX

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3 SEND US YOUR REGISTRATION

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 **TELEPHONE:** 1.800.474.4829

 **WEBSITE:** www.infonex.ca

 **MAIL:** INFONEX INC.
67 Richmond St. W., 7th Floor
Toronto, Ontario M5H 1Z5

**Register for any two
INFONEX events and save
15% off each!**

CANCELLATION POLICY:

Substitutions may be made at any time. If you are unable to attend, please make cancellations in writing and fax to 1-800-558-6520 **no later than September 8, 2009**. A credit voucher will be issued to you for the full amount, redeemable against any other INFONEX course and which is valid for twelve months (one year) from the date of issue. If you prefer, you may request a refund of fees paid, less a 15% administration fee. Registrants who cancel after **September 8, 2009**, will not be eligible to receive any credits or refunds and are liable for the entire registration fee. Confirmed registrants who do not cancel **by September 8, 2009**, and fail to attend will be liable for the entire registration fee.