

October 22-23, 2019 • Toronto, Ontario

Operational Risk Management

YOUR FACILITY CONFIRMED PARTICIPANTS INCLUDE:



Andrew Richardson,
Principal,
SIMPLIFIED RISK MANAGEMENT INC.

Saad Ali,
Director, Governance, Controls &
Operational Risk, **CIBC**

Olivier Beaumont,
Advisor, Regulatory and Operational
Risk Management,
**INDUSTRIAL ALLIANCE INSURANCE
AND FINANCIAL SERVICES INC.**

Juan Rodriguez,
Senior Manager, Global Operational
Risk,
SCOTIABANK

Go Beyond a One-Size Fits all Approach

- Exceed regulatory expectations for management of operational risk
- Clarify OSFI's expectations for management of operational risk
- Engage the first line of defence
- Hear strategies for building and modifying your ORM framework
- Address when to employ which operational risk assessment tool
- Explore root causes of operational risk events
- Introduce business process mapping to your toolkit
- Develop a risk culture framework
- Identify controls of digital transformations
- Peer-approved options for approaching a RCSA
- Get ahead of the curve on the moving target of cyber
- Embrace the new rules for capital modeling

PLATINUM SPONSOR



INFONEX
Professional Development

REGISTER TODAY!
Email: register@infonex.ca

CALL 1.800.474.4829 or
www.infonex.ca

Operational Risk Management

October 22-23, 2019 • Toronto, Ontario

DAY ONE PROGRAM AGENDA: TUESDAY, OCTOBER 22, 2019

8:00 – 9:00 Registration and Continental Breakfast

9:00 – 9:10

Welcome and Opening Remarks from the Chair

Andrew Richardson, Principal, Simplified Risk Management Inc.

9:10 – 10:10

10:10 – 10:30

Networking Break



10:30 – 11:30

11:30 – 12:30

An Update from the Regulators

- Hear the latest updates on regulatory requirements, such as OSFI Guideline E-13
- Develop and maintain a comprehensive risk appetite statement as part of FRFI's
- Implement robust internal control processes
- Learn about issues and observations in regulatory and market differences
- Understand the purpose and scope of the Guideline

12:30 – 1:30

Luncheon Break

1:30 – 2:30

Managing Risk in an Evolving Regulatory Environment

- Gain insight into key local regulations and how to manage them
- Navigate the trends of banking compliance regulations
- Understand how regulations will continue to broaden and deepen
- Learn how to respond to regulatory change and potential operational disruptions
- Achieve strategic goals in constant regulatory changes and evolving risks

2:30 – 3:30

Achieving a robust governance framework through operational risk management

Saad Ali, Director, Governance, Controls & Operational Risk, CIBC

- Critical elements of a governance framework
- Regulatory requirements for corporate governance (including OSFI and SOX)
- How business changes impact the risk assessments
- Reviewing industry events for risk incidents and assessing impact on the business
- Creating a risk profile for each line of business to identify areas requiring enhancements

3:30 – 3:45

Networking Break



3:45 – 4:45

Evaluating the Measurement of for Risk Appetite for Operational Risk

- Integrate operational risk appetite into decision-making
- Learn how to link operational risk appetite to operational risk capital
- Practical tools to allocate operational risk appetite across your organization
- Manage risk and set the tone from the top down
- Overcome challenges to establishing a risk appetite for operational risk

4:45

End of Day One

TORONTO, ONTARIO
EVENT LOCATION: TORONTO, ONTARIO

Operational Risk Management will be held at a convenient downtown location in Toronto, Ontario. Detailed venue information will be forthcoming as it becomes available.

Operational Risk Management

October 22-23, 2019 • Toronto, Ontario

DAY TWO PROGRAM AGENDA: WEDNESDAY, OCTOBER 23, 2019

8:00 – 9:00 Registration and Continental Breakfast

9:00 – 9:10

Welcome and Opening Remarks from the Chair

Andrew Richardson, Principal, Simplified Risk Management Inc.

9:10 – 10:10

Mitigating Risks and Maximizing Benefits with Third Party Vendors

- Overcome challenges related to third-party risks
- Step-by-step process to oversee your third party relationships
- Implement and develop a third party risk management model
- Benefits of continuous monitoring of third parties
- Key methods every organization needs to know to manage third party risks

10:10 – 10:30

Networking Break



10:30 – 11:30

Engage People by Leveraging Risk Management by Design

Olivier Beaumont, Advisor, Regulatory and Operational Risk Management, Industrial Alliance Insurance and Financial Services Inc.

- Create opportunities based on Lean philosophy
- Involving key stakeholders, the performers
- Take advantage of synergies to call action
- Demonstrate how benefits overcome time invested

11:30 – 12:30

Implementing a Strategic Relationship Among the Three Lines of Defense Model

Juan Rodriguez, Senior Manager, Global Operational Risk, Scotiabank

- Implementing sound governance in a non-regulated environment
- Step-by-step guide to developing a risk aware culture
- Overcome challenges in managing transformational change
- Learn the functions that own and manage risk
- Discover the importance of independent assurance, above all internal audit

12:30 – 1:30

Luncheon Break

1:30 – 2:30

Build an Effective Key Risk Indicators Roadmap: Mitigate Risk, Establish an Effective Framework & More

- Learn how to mitigate risk and facilitate risk reporting
- Understand the importance of KRIs and how they can benefit your business
- Step-by-step guide to implementing effective KRIs
- Overcome challenges in establishing an effective KRI framework
- Identify, assess gaps and improve metrics

2:30 – 3:30

Bridge the Gap and Tackle Financial Crime Using Integrated Risk & Regulatory Compliance

- Rethink your organizational structure to effectively manage financial crime risk
- Understand the importance of integration, alignment of risk and compliance functions
- Techniques, tips and tricks to grapple increasingly sophisticated financial crimes
- Practical tools to implementing transparency and integrity from financial dealings
- Learn how to monitor traders, sanctions and watch-lists while managing tight budgets

3:30 – 3:45

Networking Break



3:45 – 4:45

Revamp Your Operational Risk Modeling Methods in an Era of Evolving Cyber Attacks

- Understand where operational risk meets cyber security
- Practical tools to help you revamp your operational risk modeling methods to adequately address for the dangers posed by data breaches
- Safeguard the data needed to fuel a revamped operational risk framework
- Learn how to implement a new operational risk framework while remaining in compliance at all times
- Advanced techniques to tackle sophisticated hackers

4:45

End of Conference

SPONSORSHIP AND EXHIBITION OPPORTUNITIES

Increase your visibility with senior business strategy, technology, analytics, and business intelligence professionals at *Operational Risk Management*. A limited number of sponsorship options are available.

For more information or to check availability, contact our sponsorship department by telephone at 1.800.474.4829, ext. 224, or by email at sponsorship@infonex.ca.

Operational Risk Management

October 22-23, 2019 • Toronto, Ontario

WHO SHOULD ATTEND THIS EVENT

- Risk Officers
- Vice-Presidents and Directors of Risk Enterprise Risk
- Operational Risk
- Information Security
- Enterprise Risk Management
- Information Technology
- Information Systems
- Business Continuity
- Outsourcing
- New Initiatives and Change Management
- Internal Audit

REGISTER BY PHONE, ON-LINE, OR IN THESE 3 EASY STEPS!

1 PRINT YOUR NAME AND CONTACT INFORMATION

Mr./Ms./Mrs. _____ Title _____

Organization _____

Telephone () _____ Ext _____ Fax () _____

Email address _____

Name of Approving Manager _____ Title _____

Address _____

City _____ Province _____ Postal Code _____

Company's main line of business _____ Number of Employees: _____

2 SELECT YOUR PREFERRED PAYMENT METHOD

Prices subject to HST.	FULL PRICE	Register by April 19
Course for Groups of 3 +	\$2,399 each	\$1,799 each
Course for Groups of 2	\$2,499 each	\$1,899 each
Course for 1 Registrant	\$2,599	\$1,999

*Groups must register together at the same time to be eligible for group rates.



Method of Payment: VISA MasterCard Cheque enclosed, payable to INFONEX Inc.

Cardholders Name: _____

Card Number: _____ Exp. Date: _____ / _____

CVV/CSC: _____ Signature: _____

Please check box if you are GST/HST exempt Exemption # _____

3 SEND US YOUR REGISTRATION

GST/HST No. R134050012

@ EMAIL: register@infonex.ca

🌐 WEBSITE: www.infonex.ca

☎ TELEPHONE: 1.800.474.4829

✉ MAIL: INFONEX INC.
360 Bay Street, Suite 900
Toronto, Ontario M5H 2V6

LOCATION:

Operational Risk Management will be held at a convenient location in Toronto, Ontario. Detailed venue information will be forthcoming as it becomes available.

YOUR REGISTRATION INCLUDES:

Registration fees include all course materials, continental breakfast, lunch, and refreshments. **Parking and accommodation are not included.**

SPONSORSHIP, EXHIBITION, AND PROMOTIONAL OPPORTUNITIES:

Increase your visibility with senior business strategy, technology, analytics, and business intelligence professionals at *Operational Risk Management*. A limited number of sponsorship options are available.

Contact our sponsorship department by telephone at 1.800.474.4829, ext. 224, or by email at sponsorship@infonex.ca.

CANCELLATION POLICY:

Substitutions may be made at any time. If you are unable to attend, please make cancellations in writing and email to register@infonex.ca or fax to 1-800-558-6520 **no later than October 8, 2019.** A credit voucher will be issued to you for the full amount, redeemable against any other INFONEX course and which is valid for twelve months (one year) from the date of issue.

Registrants who cancel after **October 8, 2019**, will not be eligible to receive any credits and are liable for the entire registration fee.

Confirmed registrants who do not cancel **by October 8, 2019** and fail to attend will be liable for the entire registration fee.

DISCOUNT CODE: 1331-W

INFONEX
Professional Development